

Docket No. 211375US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiroshi MIURA et al.

SERIAL NO: 09/902,699

GAU: 2652

FILED: July 12, 2001

EXAMINER: AGUSTIN, P. V.

FOR: INFORMATION RECORDING AND/OR REPRODUCING APPARATUS, INFORMATION RECORDING AND/OR REPRODUCING METHOD, AND PHASE-CHANGE RECORDING MEDIUM FOR USE IN THE APPARATUS AND THE METHODS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicants' pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

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Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)
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Gregory J. Maier

Registration No. 25,599

Remus F. Fetea, Ph.D.

Limited Recognition No. L0037



LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Docket No.: 211375US2	Serial No.: 09/902,699
	Inventor: Hiroshi MIURA, et al.	
	Filing Date: July 12, 2001	Group: 2652

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	PER CLIENT	6,487,149	11/26/02		YOKOI et al.
	PER CLIENT	5,344,683	09/06/94		SHIMIZU
	0557-4070-2	5,926,446	07/20/99		SHIMIZU
	211375US2*	09/902,699	07/12/01	2002/0021643	MIURA et al.

Examiner

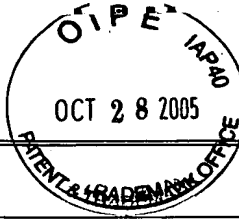
Date Considered

COPY

*Present Application; listed for information.

GJM/ae

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
211375US2SERIAL NO.
09/902,699

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Hiroshi MIURA et al.FILING DATE
July 12, 2001GROUP
2652

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,487,149	11/26/02	YOKOI et al.			
	AB	5,344,683	09/06/94	SHIMIZU et al.			
	AC	5,926,446	07/20/99	SHIMIZU et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



OSMM&N File No. 211375US2

Dept.: E/MJ

By: GJM/RFF/ys

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In the matter of the Application of: Hiroshi MIURA et al.

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INFORMATION RECORDING AND/OR REPRODUCING METHOD, AND
PHASE-CHANGE RECORDING MEDIUM FOR USE IN THE APPARATUS
AND THE METHODS

Due Date: N/A

The following has been received in the U.S. Patent Office on the date stamped hereon:

■ PTO-1449

■ IDS/Related/List of Related Cases

